



NAMA Facility: 4th Call

Latin American and Caribbean Carbon Forum
(LACCF)

Panama City, Panama, 28 September 2016

Janka Clauder

NAMA Facility Technical Support Unit

Overview

I. Introduction to the NAMA Facility

II. 4th Call Introduction

III. 4th Call Assessment criteria

I – Introduction to the NAMA Facility

Aim

Supporting countries implementing ambitious NAMAs aiming at transformational change. NAMAs can function as an important vehicle to implement nationally determined contributions (NDCs) under the Paris Agreement.

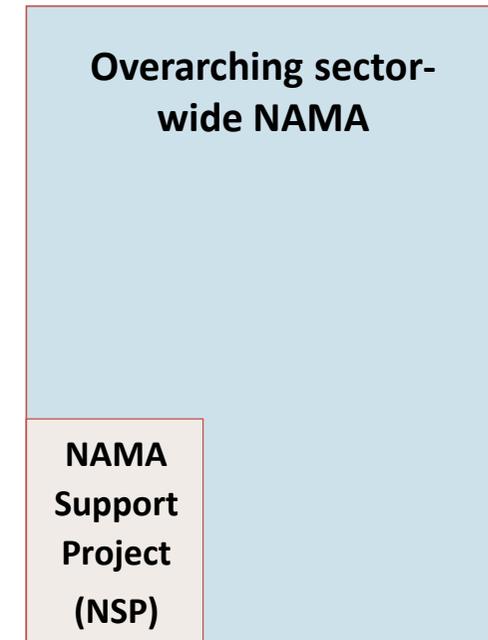
Who we are

- A multi-donor fund
- Jointly established by Germany (BMUB) and UK (BEIS) in 2013
- Denmark (EFKM, MFA) and the European Commission joined in 2015 as additional donors
- Technical Support Unit is based in Berlin
- Total funding made available through the NAMA Facility since its inception: approximately EUR 262 m.

I – Introduction to the NAMA Facility

What we do

- Implement NAMA Support Projects (NSP) as the most ambitious part of the NAMA
- Provide funding for a combination of financial and technical measures
- Hold annual competitive bidding rounds (Calls) to select projects for funding
- Key data of suitable projects: 3-5 years implementation period, budget of EUR 5-20 m.



I – Introduction to the NAMA Facility

Portfolio of selected NSPs from Call 1-3

Sector	Country	NAMA Support Project	Funding volume (Mio €)
Energy efficiency	Mexico	ECO CASA - Sustainable Housing NAMA	14
	Thailand	Refrigeration and Air Conditioning	15
	Colombia	Domestic Refrigeration NAMA	9
	Guatemala	NAMA on efficient use of fuel in households and rural communities	11
	South Africa	Energy Efficiency in Public Buildings	19
Agriculture	Costa Rica	Café NAMA - Low-carbon Coffee Production	7
Transport	Indonesia	Sustainable Urban Transport	14
	Colombia	TOD NAMA - Transit Oriented Development	15
	Peru	TRANSPerú - Sustainable Urban Transport NAMA	9
	Kenya	Mass Rapid Transport System for Nairobi	20
Renewable energy	Chile	SSRE NAMA - Self-Supply Renewable Energy	15
	Burkina Faso	Biomass Energy	14
Forestry	Tajikistan	Sustainable Forestry	13
Waste	China	Integrated Waste Management	8

II – 4th Call Introduction

- Launch of 4th Call on 4th of July, 2016
- Deadline for submission 31st of October, 2016, noon
- EUR 60 million of additional funding available for the 4th Call

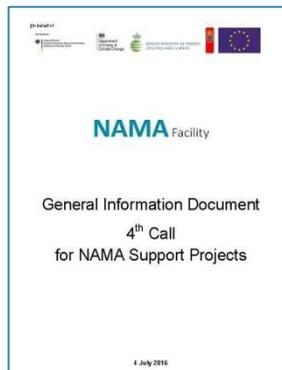
- Amended procedures include:
 - Simplified documentation
 - Enlarged circle of eligible applicants
 - Enhanced support for preparation

- The substance of the requirements for NSPs to be selected will not be watered down.

II – 4th Call Introduction

Central Sources of Information

General Information Document



Outline Template



3 Webinars



Clarifications and FAQ Series

Submit your questions to: contact@nama-facility.org

Publication dates of Clarification Notes at www.nama-facility.org:

- 29th of July
- 19th of August
- 9th of September
- 30th of September
- 21st of October

II – 4th Call Introduction

Main changes compared to previous Calls

- Widening the range of **Applicants**
- NSP **Outline template “light”**: allowing a consistent presentation of the NSP without dividing it between financial and technical cooperation
- Clarifying the **institutional set-up** of the NAMA Facility by eliminating the term “Delivery Organisation” (DO)
- Allowing for a more thorough **“Detailed Preparation Phase” (DPP)** of up to 18 months on the basis of increased support available but with a clear cut-off deadline.
- Quality assurance through the provision of **technical input during the DPP** by external experts
- Introduction of a **competitive element at the Proposal Phase** by limiting the DPP to 18 months but allowing Applicants to hand in Proposals any time before that.

II – 4th Call Introduction

Timeline 4th Call

31 October 2016, noon (12 pm (CEST/GMT+2))

Submission of NSP Outlines

Nov 2016 – early Spring 2017

External assessment of submitted NSP Outlines including an on-site assessment of short-listed NSPs

Spring 2017

Donors' decision on funding the Detailed Preparation Phase of selected NSPs

Spring 2017

Contracting of Detailed Preparation Phase (DPP)

Up to 18 months

Detailed Preparation Phase (DPP) and submission of fully-fledged Proposal

Spring 2018/ autumn 2018/ spring 2019

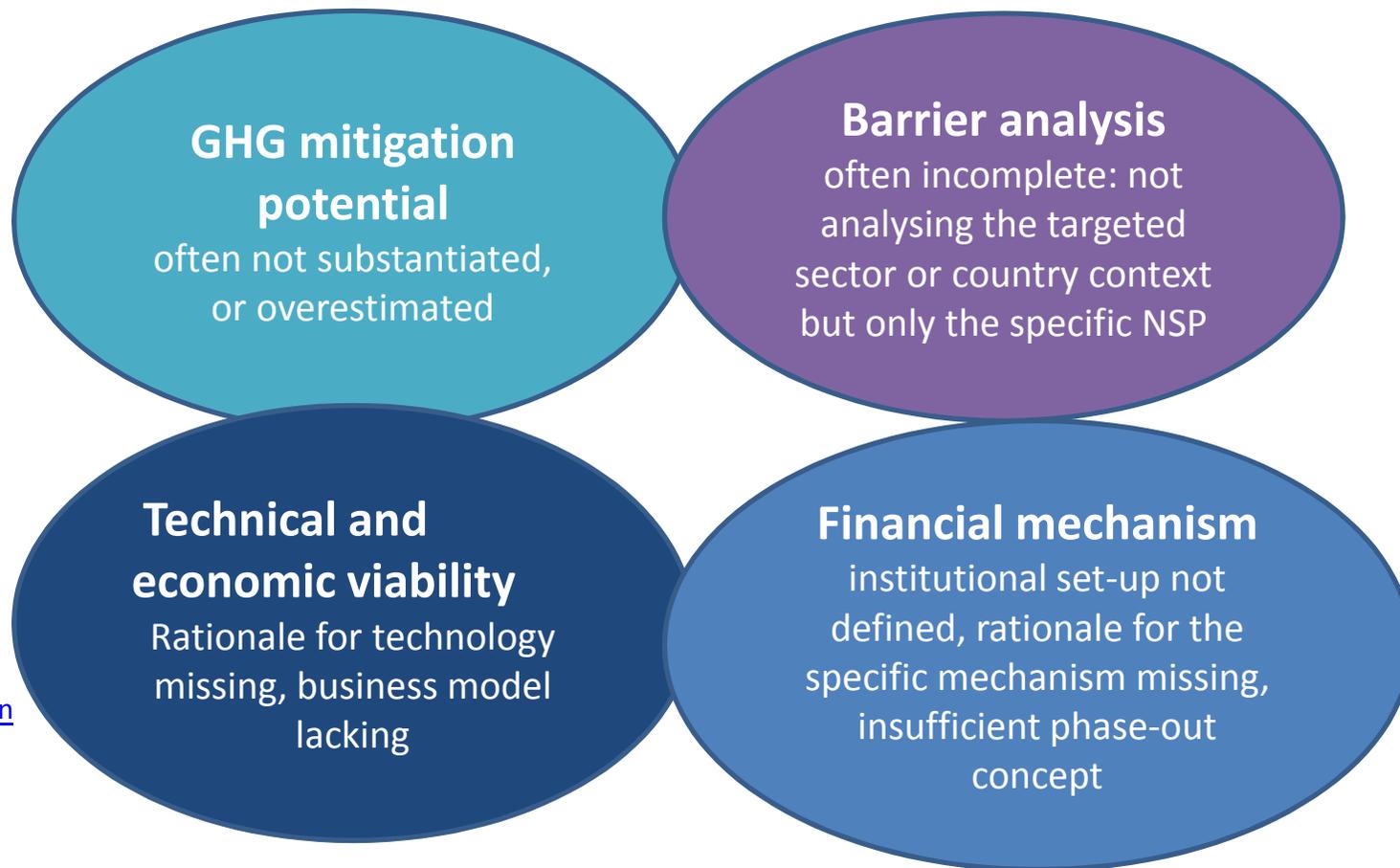
Donors' decision on funding implementation of NSPs

3-5 years

NSP Implementation

III – 4th Call Assessment Criteria

Lessons learned – sensitive issues



[i NAMA Facility factsheet on lessons learned](#)

III – 4th Call Assessment Criteria

Eligibility criteria



Eligibility criteria

- Timely submission
- Completeness of documents (including endorsement letters)
- Documents provided in English
- Funding volume for implementation EUR 5-20 million
- Implementation duration of 3-5 years
- Envisaged DPP duration of max. 18 months
- ODA-eligibility of the country
- Eligibility of the applicant

III – 4th Call Assessment Criteria

Ambition and Feasibility



Qualitative assessment

A maximum of 50 points, 25 each on **Ambition** and **Feasibility**.

Ambition: Does the project aim at bringing a country/sector on a low-carbon track?

- Potential for transformational change (11 points)
- Financial ambition (6 points)
- Mitigation potential (8 points)

Feasibility: Can the project be implemented successfully?

- Project rationale (8 points)
- Project design (12 points)
- DPP concept (5 points)

III – 4th Call Assessment Criteria

Ambition

1. Transformational change

- NAMA Facility's interpretation of transformational change:
 1. Paradigm shift (significant change of technology)
 2. Quick shift (quicker than business-as-usual)
 3. Permanent change (not slipping back to situation before project)
 4. Political embeddedness and political will

2. Financial ambition

- Mobilization of public and private funding (leverage)
- Re-direction of cash-flows towards low-carbon development
- Sustainable financial regulation/removal of market barriers

3. Mitigation potential

- Direct and indirect contribution to the 1.5°/2° goal
- Assessed in a relative way with respect to country and sector
- Plausibility of baseline, assumptions and calculation

III – 4th Call Assessment Criteria

Feasibility (1)

1. Project rationale

- **Key:** Plausible barrier analysis: what is it that impedes transformational change in the sector?
- NSP rationale tackling the barriers instead of working around them
- Clear definition of the logframe (objectives, activities, indicators and risks)
- Synergies with other programmes and initiatives
- Justification of the additionality of NSP funds

2. Project design

- Respond to the barrier analysis
- Adequate institutional set-up
- Feasible and appropriate technologies (no pilots!)
- Plausible business models at investment level
- Appropriate and efficient financial mechanism
- Readiness

4th Call Assessment Criteria

Feasibility (2)

3. DPP concept

Clear understanding of the to do's for the further project preparation

- Adequate focus
- Comprehensiveness (complete and cohesive)
- Adequacy of approach /methodology
- Realistic timeline
- Appropriateness of requested funding for DPP



4th Call Submission Deadline: 31st of October, 2016

Further information on the 4th Call: <http://www.nama-facility.org/call-for-projects.html>

Or contact the Technical Support Unit at contact@nama-facility.org

Thank you for your attention!
¡Gracias por su atención!

